

Section 2

| CERTIFICATE OF MAILING | | | |
|--|--|---------------------|-------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231. | | | |
| Typed or Printed Name | | Kimberly W. Zuehlke | |
| Signature | | Date | September 7, 2000 |

| RESPONSE TO MISSING PARTS Address to: Box Missing Parts Assistant Commissioner for Patents Washington, D.C. 20231 | Attorney Docket | SHIM-007 |
|--|----------------------|------------------|
| | First Named Inventor | Hideo Ago et al. |
| | Application Number | 09/608,713 |
| | Filing Date | June 30, 2000 |
| | Group Art Unit | To Be Assigned |
| | Examiner Name | To Be Assigned |
| Title: HCV POLYMERASE SUITABLE FOR CRYSTAL STRUCTURE... | | |

Sir:

In response to the Notice to File Missing Parts dated August 18, 2000, transmitted herewith for filing are the following documents:

1. a copy of the Notice to File Missing Parts;
2. a Combined Declaration and Power of Attorney signed by the inventors;
3. a Fee Transmittal

The Commissioner is hereby authorized to charge the amount of \$1,054.00 (surcharge, \$130; statutory basic filing fee, \$690; additional claim fees, \$234) to cover the fees required and any fees under 37 C.F.R. §§ 1.16 and 1.17 which may be required by this paper, or to credit any overpayment, to Deposit Account No. 50-0815.

Respectfully submitted,
BOZICEVIC, FIELD & FRANCIS LLP

Date:

Sept 7, 2000

By:

Karl Bozicevic
Registration No. 28,807

BOZICEVIC, FIELD & FRANCIS LLP
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Menlo Park, CA 94025
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F: DOCUMENT SHIM (Shimizu, formerly SHI) 007 Miss Pts - trans.wpd

FEE TRANSMITTAL

Note: Effective October 1, 1998
Patent fees are subject to annual revision.

SEP 13 2000

Attorney Docket Number SHIM-007

First Named Inventor Hideo Ago et al.

Application Number 09/608,713

Filing Date June 30, 2000

Group Art Unit To Be Assigned

Examiner Name To Be Assigned

METHOD OF PAYMENT

1. ☐ A Check Is Enclosed
2. ☒ The Commissioner is hereby authorized to charge the following and any additional fees including fees required under 37 CFR 1.16 and 1.17 and credit any overpayments to: Deposit Account No. 50-0815.
Deposit Account Name: Bozicevic, Field & Francis LLP

FEE CALCULATION

1. FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Due |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 101 | 690 | 201 | 345 | Utility filing fee | \$690.00 |
| 102 | 310 | 206 | 155 | Design filing fee | |
| 104 | 480 | 207 | 240 | Plant filing fee | |
| 109 | 690 | 208 | 345 | Reissue filing fee | |
| 110 | 150 | 214 | 75 | Provisional filing fee | |
| Subtotal (1) | | | | | \$690.00 |

2. CLAIMS

| No. of claims as filed or after amendment | Most claims previously paid | Extra claims | Fee from below | Fee Due |
|---|-----------------------------|--------------|----------------|---------|
| Total claims 18 | 20 | 0 | 18 | \$0 |
| Ind. claims 6 | 3 | 3 | 78 | 234.00 |
| Multiple Dependent claims | | 0 | 260 | 0 |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description |
|---------------------|-----------------|----------------|-----------------|--|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 78 | 202 | 39 | Independent claims in excess of 3 |
| 104 | 260 | 204 | 130 | Multiple dependent claim |
| 109 | 78 | 209 | 39 | Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | Reissue claims in excess of and over original patent |
| Subtotal (2) 234.00 | | | | |

3. ADDITIONAL FEES

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Due |
|----------------|-----------------|----------------|-----------------|---|---------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | 130 |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 115 | 110 | 215 | 55 | Ext. for reply within first month | |
| 117 | 870 | 217 | 435 | Ext. for reply within third month | |
| 128 | 1,850 | 228 | 925 | Ext. for reply within fifth month | |
| 120 | 300 | 220 | 150 | Filing brief in support of appeal | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 142 | 1,210 | 242 | 605 | Utility issue fee (or reissue) | |
| 123 | 50 | 123 | 50 | Petitions related to prov. appl. | |
| 146 | 690 | 246 | 345 | Filing submission after final rejection (37 CFR 1.129(a)) | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 143 | 430 | 243 | 215 | Design issue fee | |
| 581 | 40 | 581 | 40 | Recording patent assignment | |
| | | | | Other fee (specify) | |

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) 130.00

TOTAL AMOUNT TO BE CHARGED (\$) 1,054.00

SUBMITTED BY

| Typed or Printed Name | Signature | Date | Reg. Number | Deposit Account |
|--|--------------------|--------------|-------------|-----------------|
| Karl Bozicevic, BOZICEVIC, FIELD & FRANCIS LLP | <i>[Signature]</i> | Sept 7, 2000 | 28,807 | 50-0815 |

FORMALITIES LETTER



OC000000005336351

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09 608,713 | 06/30/2000 | Hideo Ago | SHIM-007 |

Karl Bozicevic
Bozicevic Field & Francis LLP
200 Middlefield Road
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Menlo Park, CA 94025

Date Mailed: 08/18/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

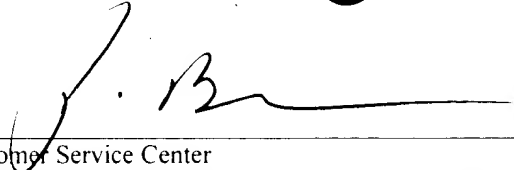
- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$234.
 ■ **\$234** for **3** independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821 - 1.825 for the following reason(s):
 ■ A copy of the "Sequence Listing" in computer readable form has not been submitted as required under 37 CFR 1.821(e).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-1123
- For CRF Submission Help, call (703) 308-4212
- PatentIn Software Program Support
- - For Technical Assistance, call (703) 287-0200
- - To Purchase PatentIn Software, call (703) 306-2600

- The balance due by applicant is \$ 1054.

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE